OASIS-E Start of Care

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OUTCOME ASSESSMENT INFORMATION SET VERSION E (OASIS-E)

Start of Care (SOC)

Section A	Administrative Information

M0018. National Provider Identifier (NPI) for the attending physician who has signed the plan of care

☐☐☐☐☐☐☐☐☐☐ ☐ UK – Unknown or Not Available

M0010. CMS Certification Number

☐☐☐☐☐☐

M0014. Branch State

☐☐

M0016. Branch ID Number

☐☐☐☐☐☐☐☐☐☐

M0020. Patient ID Number

☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

M0030. Start of Care Date

☐☐ - ☐☐ - ☐☐☐☐

Month Day Year

M0040. Patient Name

☐☐☐☐☐☐☐☐☐☐☐☐ ☐ ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

(First) (MI) (Last)

M0050. Patient State of Residence

☐☐

M0060. Patient ZIP Code

☐☐☐☐☐ - ☐☐☐☐

M0064. Social Security Number

☐☐☐ - ☐☐ - ☐☐☐☐ ☐ UK – Unknown or Not Available

M0063. Medicare Number

☐☐☐☐☐☐☐☐☐☐☐☐ ☐ NA – No Medicare

M0065. Medicaid Number

☐☐☐☐☐☐☐☐☐☐☐☐☐☐ ☐ NA – No Medicaid

M0069. Gender

Enter Code ☐

1. **Male**
2. **Female**

M0066. Birth Date	
	☐☐ - ☐☐ - ☐☐☐☐ Month Day Year

A1005. Ethnicity Are you of Hispanic, Latino/a, or Spanish origin?	
↓	**Check all that apply**
☐	A. **No, not of Hispanic, Latino/a, or Spanish origin**
☐	B. **Yes, Mexican, Mexican American, Chicano/a**
☐	C. **Yes, Puerto Rican**
☐	D. **Yes, Cuban**
☐	E. **Yes, another Hispanic, Latino, or Spanish origin**
☐	X. **Patient unable to respond**
☐	Y. **Patient declines to respond**

A1010. Race What is your race?	
↓	**Check all that apply**
☐	A. **White**
☐	B. **Black or African American**
☐	C. **American Indian or Alaska Native**
☐	D. **Asian Indian**
☐	E. **Chinese**
☐	F. **Filipino**
☐	G. **Japanese**
☐	H. **Korean**
☐	I. **Vietnamese**
☐	J. **Other Asian**
☐	K. **Native Hawaiian**
☐	L. **Guamanian or Chamorro**
☐	M. **Samoan**
☐	N. **Other Pacific Islander**
☐	X. **Patient unable to respond**
☐	Y. **Patient declines to respond**
☐	Z. **None of the above**

M0150. Current Payment Sources for Home Care	
↓	**Check all that apply**
☐	0. **None;** no charge for current services
☐	1. **Medicare** (traditional fee-for-service)
☐	2. **Medicare** (HMO/managed care/Advantage plan)
☐	3. **Medicaid** (traditional fee-for-service)
☐	4. **Medicaid** (HMO/managed care)
☐	5. **Workers' compensation**
☐	6. **Title programs** (for example, Title III, V, or XX)
☐	7. **Other government** (for example, TriCare, VA)
☐	8. **Private insurance**
☐	9. **Private HMO/managed care**
☐	10. **Self-pay**
☐	11. **Other** (specify)
☐	UK. **Unknown**

A1110. Language

Enter Code ☐

A. **What is your preferred language?**

☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

B. **Do you need or want an interpreter to communicate with a doctor or health care staff?**

0. **No**
1. **Yes**
9. **Unable to determine**

M0080. Discipline of Person Completing Assessment

Enter Code ☐

1. **RN**
2. **PT**
3. **SLP/ST**
4. **OT**

M0090. Date Assessment Completed

☐☐ - ☐☐ - ☐☐☐☐

Month Day Year

M0100. This Assessment is Currently Being Completed for the Following Reason

Enter Code ☐

Start/Resumption of Care

1. **Start of care –** further visits planned
3. **Resumption of care** (after inpatient stay)

Follow-Up

4. **Recertification (follow-up) reassessment**
5. **Other follow-up**

Transfer to an Inpatient Facility

6. **Transferred to an inpatient facility –** patient not discharged from agency
7. **Transferred to an inpatient facility –** patient discharged from agency

Discharge from Agency – Not to an Inpatient Facility

8. **Death at home**
9. **Discharge from agency**

M0102. Date of Physician-ordered Start of Care (Resumption of Care)

If the physician indicated a specific start of care (resumption of care) date when the patient was referred for home health services, record the date specified.

☐☐ - ☐☐ - ☐☐☐☐ → *Skip to M0110, Episode Timing, if date entered*

Month Day Year

☐ NA – No specific SOC/ROC date ordered by physician

M0104. Date of Referral

Indicate the date that the written or verbal referral for initiation or resumption of care was received by the HHA.

☐☐ - ☐☐ - ☐☐☐☐

Month Day Year

M0110. Episode Timing

Is the Medicare home health payment episode, for which this assessment will define a case mix group, an "early" episode or a "later" episode in the patient's current sequence of adjacent Medicare home health payment episodes?

Enter Code	
☐	1. **Early** 2. **Later** UK **Unknown** NA **Not Applicable:** No Medicare case mix group to be defined by this assessment.

A1250. Transportation (NACHC ©)

Has lack of transportation kept you from medical appointments, meetings, work, or from getting things needed for daily living?

↓	**Check all that apply**
☐	A. **Yes, it has kept me from medical appointments or from getting my medications**
☐	B. **Yes, it has kept me from non-medical meetings, appointments, work, or from getting things that I need**
☐	C. **No**
☐	X. **Patient unable to respond**
☐	Y. **Patient declines to respond**

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M1000. From which of the following Inpatient Facilities was the patient discharged within the past 14 days?

↓	**Check all that apply**
☐	1. **Long-term nursing facility (NF)**
☐	2. **Skilled nursing facility (SNF/TCU)**
☐	3. **Short-stay acute hospital (IPPS)**
☐	4. **Long-term care hospital (LTCH)**
☐	5. **Inpatient rehabilitation hospital or unit (IRF)**
☐	6. **Psychiatric hospital or unit**
☐	7. **Other (specify)**
☐	NA **Patient was not discharged from an inpatient facility** ➔ *Skip to B0200 Hearing*

M1005. Inpatient Discharge Date (most recent)

☐☐ - ☐☐ - ☐☐☐☐ ☐ UK – Unknown or Not Available

Month Day Year

Section B Hearing, Speech, and Vision

B0200. Hearing

Enter Code	
☐	**Ability to hear** (with hearing aid or hearing appliances if normally used) 0. **Adequate** – no difficulty in normal conversation, social interaction, listening to TV 1. **Minimal difficulty** – difficulty in some environments (e.g., when person speaks softly, or setting is noisy) 2. **Moderate difficulty** – speaker has to increase volume and speak distinctly 3. **Highly impaired** – absence of useful hearing

B1000. Vision	
Enter Code ☐	**Ability to see in adequate light** (with glasses or other visual appliances) 0. **Adequate** – sees fine detail, such as regular print in newspapers/books 1. **Impaired** – sees large print, but not regular print in newspapers/books 2. **Moderately impaired** – limited vision; not able to see newspaper headlines but can identify objects 3. **Highly impaired** – object identification in question, but eyes appear to follow objects 4. **Severely impaired** – no vision or sees only light, colors or shapes; eyes do not appear to follow objects

B1300. Health Literacy (*From Creative Commons ©*) How often do you need to have someone help you when you read instructions, pamphlets, or other written material from your doctor or pharmacy?	
Enter Code ☐	0. **Never** 1. **Rarely** 2. **Sometimes** 3. **Often** 4. **Always** 7. **Patient declines to respond** 8. **Patient unable to respond**

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Section C Cognitive Patterns

C0100. Should Brief Interview for Mental Status (C0200-C0500) be Conducted? Attempt to conduct interview with all patients.	
Enter Code ☐	0. **No** (patient is rarely/never understood) ➔ *Skip to C1310, Signs and Symptoms of Delirium (from CAM ©)* 1. **Yes** ➔ Continue to C0200, Repetition of Three Words

Brief Interview for Mental Status (BIMS)

C0200. Repetition of Three Words	
Enter Code ☐	Ask patient: *"I am going to say three words for you to remember. Please repeat the words after I have said all three. The words are: **sock, blue, and bed**. Now tell me the three words."* **Number of words repeated after first attempt** 0. **None** 1. **One** 2. **Two** 3. **Three** After the patient's first attempt, repeat the words using cues *("sock, something to wear; blue, a color; bed, a piece of furniture"*). You may repeat the words up to two more times.

C0300. Temporal Orientation (Orientation to year, month, and day)	
Enter Code ☐	Ask patient: *"Please tell me what year it is right now."* A. **Able to report correct year** 0. **Missed by > 5 years** or no answer 1. **Missed by 2-5 years** 2. **Missed by 1 year** 3. **Correct**
Enter Code ☐	Ask patient: *"What month are we in right now?"* B. **Able to report correct month** 0. **Missed by > 1 month** or no answer 1. **Missed by 6 days to 1 month** 2. **Accurate within 5 days**
Enter Code ☐	Ask patient: *"What day of the week is today?"* C. **Able to report correct day of the week** 0. **Incorrect** or no answer 1. **Correct**

C0400. Recall	
Enter Code ☐	Ask patient: *"Let's go back to an earlier question. What were those three words that I asked you to repeat?"* If unable to remember a word, give cue (something to wear; a color; a piece of furniture) for that word. A. **Able to recall "sock"** 0. **No** – could not recall 1. **Yes, after cueing** ("something to wear") 2. **Yes, no cue required**
Enter Code ☐	B. **Able to recall "blue"** 0. **No** – could not recall 1. **Yes, after cueing** ("a color") 2. **Yes, no cue required**
Enter Code ☐	C. **Able to recall "bed"** 0. **No** – could not recall 1. **Yes, after cueing** ("a piece of furniture") 2. **Yes, no cue required**

C0500. BIMS Summary Score	
Enter Score ☐☐	**Add scores** for questions C0200-C0400 and fill in total score (00-15) **Enter 99 if the patient was unable to complete the interview**

C1310. Signs and Symptoms of Delirium (from CAM©)

Code **after completing** Brief Interview for Mental Status and reviewing medical record.

A. **Acute Onset of Mental Status Change**

Enter Code	
☐	**Is there evidence of an acute change in mental status** from the patient's baseline? 0. **No** 1. **Yes**

Coding:
0. **Behavior not present**
1. **Behavior continuously present, does not fluctuate**
2. **Behavior present, fluctuates** (comes and goes, changes in severity)

↓ Enter Codes in Boxes	
☐	B. **Inattention –** Did the patient have difficulty focusing attention, for example, being easily distractible or having difficulty keeping track of what was being said?
☐	C. **Disorganized thinking –** Was the patient's thinking disorganized or incoherent (rambling or irrelevant conversation, unclear or illogical flow of ideas, or unpredictable switching from subject to subject)?
☐	D. **Altered level of consciousness** – Did the patient have altered level of consciousness, as indicated by any of the following criteria? ▪ **vigilant** – startled easily to any sound or touch ▪ **lethargic** – repeatedly dozed off when being asked questions, but responded to voice or touch ▪ **stuporous** – very difficult to arouse and keep aroused for the interview ▪ **comatose** – could not be aroused

Adapted from: Inouye SK, et al. Ann Intern Med. 1990; 113: 941-948. Confusion Assessment Method. Copyright 2003, Hospital Elder Life Program, LLC. Not to be reproduced without permission.

M1700. Cognitive Functioning

Patient's current (day of assessment) level of alertness, orientation, comprehension, concentration, and immediate memory for simple commands.

Enter Code	
☐	0. **Alert/oriented, able to focus and shift attention, comprehends and recalls task directions independently.** 1. **Requires prompting (cueing, repetition, reminders) only under stressful or unfamiliar conditions.** 2. **Requires assistance and some direction in specific situations (for example, on all tasks involving shifting of attention) or consistently requires low stimulus environment due to distractibility.** 3. **Requires considerable assistance in routine situations. Is not alert and oriented or is unable to shift attention and recall directions more than half the time.** 4. **Totally dependent due to disturbances such as constant disorientation, coma, persistent vegetative state, or delirium.**

M1710. When Confused

(Reported or Observed Within the Last 14 Days):

Enter Code	
☐	0. **Never** 1. **In new or complex situations only** 2. **On awakening or at night only** 3. **During the day and evening, but not constantly** 4. **Constantly** NA **Patient nonresponsive**

M1720. When Anxious

(Reported or Observed Within the Last 14 Days):

Enter Code	
☐	0. **None of the time** 1. **Less often than daily** 2. **Daily, but not constantly** 3. **All of the time** NA **Patient nonresponsive**

Section D	Mood

D0150. Patient Mood Interview (PHQ-2 to 9)

Say to patient: "*Over the last 2 weeks, have you been bothered by any of the following problems?*"

If symptom is present, enter 1 (yes) in column 1, Symptom Presence.
If yes in column 1, then ask the patient: "About how often have you been bothered by this?"
Read and show the patient a card with the symptom frequency choices. Indicate response in column 2, Symptom Frequency.

1. Symptom Presence 0. **No** (enter 0 in column 2) 1. **Yes** (enter 0-3 in column 2) 9. **No response** (leave column2 blank).	**2. Symptom Frequency** 0. **Never or 1 day** 1. **2-6 days** (several days) 2. **7-11 days** (half or more of the days) 3. **12-14 days** (nearly every day)	**1. Symptom Presence**	**2. Symptom Frequency**
		↓Enter Scores in Boxes↓	
A. ***Little interest or pleasure in doing things***		☐	☐
B. ***Feeling down, depressed, or hopeless***		☐	☐
If either D150A2 or D150B2 is coded 2 or 3, CONTINUE asking the questions below. If not, END the PHQ interview.			
C. ***Trouble falling or staying asleep, or sleeping too much***		☐	☐
D. ***Feeling tired or having little energy***		☐	☐
E. ***Poor appetite or overeating***		☐	☐
F. ***Feeling bad about yourself – or that you are a failure or have let yourself or your family down***		☐	☐
G. ***Trouble concentrating on things, such as reading the newspaper or watching television***		☐	☐
H. ***Moving or speaking so slowly that other people could have noticed. Or the opposite – being so fidgety or restless that you have been moving around a lot more than usual***		☐	☐
I. ***Thoughts that you would be better off dead, or of hurting yourself in some way***		☐	☐

D0160. Total Severity Score

Enter Score ☐☐	**Add scores for all frequency responses in Column 2,** Symptom Frequency. Total score must be between 00 and 27. Enter 99 if unable to complete interview (i.e., Symptom Frequency is blank for 3 or more required items)

D0700. Social Isolation

How often do you feel lonely or isolated from those around you?

Enter Code ☐	0. **Never** 1. **Rarely** 2. **Sometimes** 3. **Often** 4. **Always** 7. **Patient declines to respond** 8. **Patient unable to respond**

Section E Behavior

M1740. Cognitive, Behavioral, and Psychiatric Symptoms that are demonstrated at least once a week (Reported or Observed):	
↓ **Check all that apply**	
☐	1. **Memory deficit:** failure to recognize familiar persons/places, inability to recall events of past 24 hours, significant memory loss so that supervision is required
☐	2. **Impaired decision-making:** failure to perform usual ADLs or IADLs, inability to appropriately stop activities, jeopardizes safety through actions
☐	3. **Verbal disruption:** yelling, threatening, excessive profanity, sexual references, etc.
☐	4. **Physical aggression:** aggressive or combative to self and others (for example, hits self, throws objects, punches, dangerous maneuvers with wheelchair or other objects)
☐	5. **Disruptive, infantile, or socially inappropriate behavior** (excludes verbal actions)
☐	6. **Delusional, hallucinatory, or paranoid behavior**
☐	7. **None of the above behaviors demonstrated**

M1745. Frequency of Disruptive Behavior Symptoms (Reported or Observed): Any physical, verbal, or other disruptive/dangerous symptoms that are injurious to self or others or jeopardize personal safety.	
Enter Code ☐	0. **Never** 1. **Less than once a month** 2. **Once a month** 3. **Several times each month** 4. **Several times a week** 5. **At least daily**

Section F Preferences for Customary Routine Activities

M1100. Patient Living Situation
Which of the following best describes the patient's residential circumstance and availability of assistance?

Living Arrangement	**Availability of Assistance**				
	Around the Clock	**Regular Daytime**	**Regular Nighttime**	**Occasional/ Short-Term Assistance**	**No Assistance Available**
	↓**Check one box only**↓				
A. **Patient lives alone**	☐01	☐02	☐03	☐04	☐05
B. **Patient lives with other person(s) in the home**	☐06	☐07	☐08	☐09	☐10
C. **Patient lives in congregate situation** (for example, assisted living, residential care home)	☐11	☐12	☐13	☐14	☐15

M2102. Types and Sources of Assistance

Determine the ability and willingness of non-agency caregivers (such as family members, friends, or privately paid caregivers) to provide assistance for the following activities, if assistance is needed. Excludes all care by your agency staff.

Enter Code	
☐	f. **Supervision and safety** (due to cognitive impairment) 0. **No assistance needed – patient is independent or does not have needs in this area** 1. **Non-agency caregiver(s) currently provide assistance** 2. **Non-agency caregiver(s) need training/supportive services to provide assistance** 3. **Non-agency caregiver(s) are not likely to provide assistance OR it is unclear if they will provide assistance** 4. **Assistance needed, but no non-agency caregiver(s) available**

Section G Functional Status

M1800. Grooming

Current ability to tend safely to personal hygiene needs (specifically: washing face and hands, hair care, shaving or make up, teeth or denture care, or fingernail care).

Enter Code	
☐	0. **Able to groom self unaided, with or without the use of assistive devices or adapted methods.** 1. **Grooming utensils must be placed within reach before able to complete grooming activities.** 2. **Someone must assist the patient to groom self.** 3. **Patient depends entirely upon someone else for grooming needs.**

M1810. Current Ability to Dress <u>Upper</u> Body safely (with or without dressing aids) including undergarments, pullovers, front-opening shirts and blouses, managing zippers, buttons, and snaps.

Enter Code	
☐	0. **Able to get clothes out of closets and drawers, put them on and remove them from the upper body without assistance.** 1. **Able to dress upper body without assistance if clothing is laid out or handed to the patient.** 2. **Someone must help the patient put on upper body clothing.** 3. **Patient depends entirely upon another person to dress the upper body.**

M1820. Current Ability to Dress <u>Lower</u> Body safely (with or without dressing aids) including undergarments, slacks, socks or nylons, shoes.

Enter Code	
☐	0. **Able to obtain, put on, and remove clothing and shoes without assistance.** 1. **Able to dress lower body without assistance if clothing and shoes are laid out or handed to the patient.** 2. **Someone must help the patient put on undergarments, slacks, socks or nylons, and shoes.** 3. **Patient depends entirely upon another person to dress lower body.**

M1830. Bathing

Current ability to wash entire body safely. Excludes grooming (washing face, washing hands, and shampooing hair).

Enter Code	
☐	0. **Able to bathe self in shower or tub independently, including getting in and out of tub/shower.** 1. **With the use of devices, is able to bathe self in shower or tub independently, including getting in and out of the tub/shower.** 2. **Able to bathe in shower or tub with the intermittent assistance of another person:** a. **for intermittent supervision or encouragement or reminders, OR** b. **to get in and out of the shower or tub, OR** c. **for washing difficult to reach areas.** 3. **Able to participate in bathing self in shower or tub but requires presence of another person throughout the bath for assistance or supervision.** 4. **Unable to use the shower or tub, but able to bathe self independently with or without the use of devices at the sink, in chair, or on commode.** 5. **Unable to use the shower or tub, but able to participate in bathing self in bed, at the sink, in bedside chair, or on commode, with the assistance or supervision of another person.** 6. **Unable to participate effectively in bathing and is bathed totally by another person.**

M1840. Toilet Transferring

Current ability to get to and from the toilet or bedside commode safely and transfer on and off toilet/commode.

Enter Code	
☐	0. **Able to get to and from the toilet and transfer independently with or without a device.** 1. **When reminded, assisted, or supervised by another person, able to get to and from the toilet and transfer.** 2. **Unable to get to and from the toilet but is able to use a bedside commode (with or without assistance).** 3. **Unable to get to and from the toilet or bedside commode but is able to use a bedpan/urinal independently.** 4. **Is totally dependent in toileting.**

M1845. Toileting Hygiene

Current ability to maintain perineal hygiene safely, adjust clothes and/or incontinence pads before and after using toilet, commode, bedpan, urinal. If managing ostomy, includes cleaning area around stoma, but not managing equipment.

Enter Code	
☐	0. **Able to manage toileting hygiene and clothing management without assistance.** 1. **Able to manage toileting hygiene and clothing management without assistance if supplies/implements are laid out for the patient.** 2. **Someone must help the patient to maintain toileting hygiene and/or adjust clothing.** 3. **Patient depends entirely upon another person to maintain toileting hygiene.**

M1850. Transferring

Current ability to move safely from bed to chair, or ability to turn and position self in bed if patient is bedfast.

Enter Code	
☐	0. **Able to independently transfer.** 1. **Able to transfer with minimal human assistance or with use of an assistive device.** 2. **Able to bear weight and pivot during the transfer process but unable to transfer self.** 3. **Unable to transfer self and is unable to bear weight or pivot when transferred by another person.** 4. **Bedfast, unable to transfer but is able to turn and position self in bed.** 5. **Bedfast, unable to transfer and is unable to turn and position self.**

M1860. Ambulation/Locomotion

Current ability to walk safely, once in a standing position, or use a wheelchair, once in a seated position, on a variety of surfaces.

Enter Code	
☐	0. **Able to independently walk on even and uneven surfaces and negotiate stairs with or without railings (specifically: needs no human assistance or assistive device).** 1. **With the use of a one-handed device (for example, cane, single crutch, hemi-walker), able to independently walk on even and uneven surfaces and negotiate stairs with or without railings.** 2. **Requires use of a two-handed device (for example, walker or crutches) to walk alone on a level surface and/or requires human supervision or assistance to negotiate stairs or steps or uneven surfaces.** 3. **Able to walk only with the supervision or assistance of another person at all times.** 4. **Chairfast, unable to ambulate but is able to wheel self independently.** 5. **Chairfast, unable to ambulate and is unable to wheel self.** 6. **Bedfast, unable to ambulate or be up in a chair.**

Section GG Functional Abilities and Goals

GG0100. Prior Functioning: Everyday Activities

Indicate the patient's usual ability with everyday activities prior to the current illness, exacerbation, or injury.

Coding:

3. **Independent** – Patient completed all the activities by themself, with or without an assistive device, with no assistance from a helper.
2. **Needed Some Help** – Patient needed partial assistance from another person to complete any activities.
1. **Dependent** – A helper completed all the activities for the patient.
8. **Unknown**
9. **Not Applicable**

↓ Enter Codes in Boxes	
☐	A. **Self Care:** Code the patient's need for assistance with bathing, dressing, using the toilet, and eating prior to the current illness, exacerbation, or injury.
☐	B. **Indoor Mobility (Ambulation):** Code the patient's need for assistance with walking from room to room (with or without a device such as cane, crutch or walker) prior to the current illness, exacerbation, or injury.
☐	C. **Stairs:** Code the patient's need for assistance with internal or external stairs (with or without a device such as cane, crutch, or walker) prior to the current illness, exacerbation, or injury.
☐	D. **Functional Cognition:** Code the patient's need for assistance with planning regular tasks, such as shopping or remembering to take medication prior to the current illness, exacerbation, or injury.

GG0110. Prior Device Use

Indicate devices and aids used by the patient prior to the current illness, exacerbation, or injury.

↓ Check all that apply	
☐	A. **Manual wheelchair**
☐	B. **Motorized wheelchair and/or scooter**
☐	C. **Mechanical lift**
☐	D. **Walker**
☐	E. **Orthotics/Prosthetics**
☐	Z. **None of the above**

GG0130. Self-Care

Code the patient's usual performance at SOC/ROC for each activity using the 6-point scale. If activity was not attempted at SOC/ROC, code the reason. Code the patient's discharge goal(s) using the 6-point scale. Use of codes 07, 09, 10 or 88 is permissible to code discharge goal(s).

Coding:

Safety and **Quality of Performance** – If helper assistance is required because patient's performance is unsafe or of poor quality, score according to amount of assistance provided.

Activities may be completed with or without assistive devices.

06. **Independent** – Patient completes the activity by themself with no assistance from a helper.
05. **Setup or clean-up assistance** – Helper sets up or cleans up; patient completes activity. Helper assists only prior to or following the activity.
04. **Supervision or touching assistance** – Helper provides verbal cues and/or touching/steadying and/or contact guard assistance as patient completes activity. Assistance may be provided throughout the activity or intermittently.
03. **Partial/moderate assistance** – Helper does LESS THAN HALF the effort. Helper lifts, holds or supports trunk or limbs, but provides less than half the effort.
02. **Substantial/maximal assistance** – Helper does MORE THAN HALF the effort. Helper lifts or holds trunk or limbs and provides more than half the effort.
01. **Dependent** – Helper does ALL of the effort. Patient does none of the effort to complete the activity. Or, the assistance of 2 or more helpers is required for the patient to complete the activity.

If activity was not attempted, code reason:

07. **Patient refused**
09. **Not applicable** – Not attempted and the patient did not perform this activity prior to the current illness, exacerbation or injury.
10. **Not attempted due to environmental limitations** (e.g., lack of equipment, weather constraints)
88. **Not attempted due to medical condition or safety concerns**

1. SOC/ROC Performance	2. Discharge Goal	
↓Enter Codes in Boxes↓		
☐☐	☐☐	A. **Eating:** The ability to use suitable utensils to bring food and/or liquid to the mouth and swallow food and/or liquid once the meal is placed before the patient.
☐☐	☐☐	B. **Oral Hygiene:** The ability to use suitable items to clean teeth. Dentures (if applicable): The ability to insert and remove dentures into and from mouth, and manage denture soaking and rinsing with use of equipment.
☐☐	☐☐	C. **Toileting Hygiene:** The ability to maintain perineal hygiene, adjust clothes before and after voiding or having a bowel movement. If managing an ostomy, include wiping the opening but not managing equipment.
☐☐	☐☐	E. **Shower/bathe self:** The ability to bathe self, including washing, rinsing, and drying self (excludes washing of back and hair). Does not include transferring in/out of tub/shower.
☐☐	☐☐	F. **Upper body dressing:** The ability to dress and undress above the waist; including fasteners, if applicable.
☐☐	☐☐	G. **Lower body dressing:** The ability to dress and undress below the waist, including fasteners; does notinclude footwear.
☐☐	☐☐	H. **Putting on/taking off footwear:** The ability to put on and take off socks and shoes or other footwear that is appropriate for safe mobility; including fasteners, if applicable.

GG0170. Mobility

Code the patient's usual performance at SOC/ROC for each activity using the 6-point scale. If activity was not attempted at SOC/ROC, code the reason. Code the patient's discharge goal(s) using the 6-point scale. Use of codes 07, 09, 10 or 88 is permissible to code discharge goal(s).

Coding:

Safety and **Quality of Performance** – If helper assistance is required because patient's performance is unsafe or of poor quality, score according to amount of assistance provided.

Activities may be completed with or without assistive devices.

06. **Independent** – Patient completes the activity by themself with no assistance from a helper.
05. **Setup or clean-up assistance** – Helper sets up or cleans up; patient completes activity. Helper assists only prior to or following the activity.
04. **Supervision or touching assistance** – Helper provides verbal cues and/or touching/steadying and/or contact guard assistance as patient completes activity. Assistance may be provided throughout the activity or intermittently.
03. **Partial/moderate assistance** – Helper does LESS THAN HALF the effort. Helper lifts, holds or supports trunk or limbs, but provides less than half the effort.
02. **Substantial/maximal assistance** – Helper does MORE THAN HALF the effort. Helper lifts or holds trunk or limbs and provides more than half the effort.
01. **Dependent** – Helper does ALL of the effort. Patient does none of the effort to complete the activity. Or, the assistance of 2 or more helpers is required for the patient to complete the activity.

If activity was not attempted, code reason:

07. **Patient refused**
09. **Not applicable** – Not attempted and the patient did not perform this activity prior to the current illness, exacerbation or injury.
10. **Not attempted due to environmental limitations** (e.g., lack of equipment, weather constraints)
88. **Not attempted due to medical condition or safety concerns**

1. SOC/ROC Performance	2. Discharge Goal	
↓Enter Codes in Boxes↓		
		A. **Roll left and right:** The ability to roll from lying on back to left and right side, and return to lying on back on the bed.
		B. **Sit to lying:** The ability to move from sitting on side of bed to lying flat on the bed.
		C. **Lying to sitting on side of bed:** The ability to move from lying on the back to sitting on the side of the bed with no back support.
		D. **Sit to stand:** The ability to come to a standing position from sitting in a chair, wheelchair, or on the side of the bed.
		E. **Chair/bed-to-chair transfer:** The ability to transfer to and from a bed to a chair (or wheelchair).
		F. **Toilet transfer:** The ability to get on and off a toilet or commode.
		G. **Car transfer:** The ability to transfer in and out of a car or van on the passenger side. Does not include the ability to open/close door or fasten seat belt.
		I. **Walk 10 feet:** Once standing, the ability to walk at least 10 feet in a room, corridor, or similar space. *If SOC/ROC performance is coded 07, 09, 10 or 88, →Skip to GG0170M, 1 step (curb)*
		J. **Walk 50 feet with two turns:** Once standing, the ability to walk 50 feet and make two turns.
		K. **Walk 150 feet:** Once standing, the ability to walk at least 150 feet in a corridor or similar space.
		L. **Walking 10 feet on uneven surfaces:** The ability to walk 10 feet on uneven or sloping surfaces (indoor or outdoor), such as turf or gravel.
		M. **1 step (curb):** The ability to go up and down a curb or up and down one step. *If SOC/ROC performance is coded 07, 09, 10 or 88, → Skip to GG0170P, Picking up object.*

GG0170. Mobility

Code the patient's usual performance at SOC/ROC for each activity using the 6-point scale. If activity was not attempted at SOC/ROC, code the reason. Code the patient's discharge goal(s) using the 6-point scale. Use of codes 07, 09, 10 or 88 is permissible to code discharge goal(s).

☐☐	☐☐	N. **4 steps:** The ability to go up and down four steps with or without a rail. *If SOC/ROC performance is coded 07, 09, 10 or 88, ➔ Skip to GG0170P, Picking up object.*
☐☐	☐☐	O. **12 steps:** The ability to go up and down 12 steps with or without a rail.
1. SOC/ROC Performance	**2. Discharge Goal**	
↓Enter Codes in Boxes↓		
☐☐	☐☐	P. **Picking up object**: The ability to bend/stoop from a standing position to pick up a small object, such as a spoon, from the floor.
	☐	Q. Does patient use wheelchair and/or scooter? 0. **No ➔** *Skip to M1600, Urinary Tract Infection* 1. **Yes ➔**Continue to GG0170R, Wheel 50 feet with two turns
☐☐	☐☐	R. **Wheel 50 feet with two turns:** Once seated in wheelchair/scooter, the ability to wheel at least 50 feet and make two turns.
	☐	RR1. **Indicate the type of wheelchair or scooter used.** 1. **Manual** 2. **Motorized**
☐☐	☐☐	S. **Wheel 150 feet:** Once seated in wheelchair/scooter, the ability to wheel at least 150 feet in a corridor or similar space.
	☐	SS1. **Indicate the type of wheelchair or scooter used.** 1. **Manual** 2. **Motorized**

Section H | Bladder and Bowel

M1600. Has this patient been treated for a Urinary Tract Infection in the past 14 days?

Enter Code ☐

0. **No**
1. **Yes**
NA **Patient on prophylactic treatment**
UK **Unknown**

M1610. Urinary Incontinence or Urinary Catheter Presence

Enter Code ☐

0. **No incontinence or catheter** (includes anuria or ostomy for urinary drainage)
1. **Patient is incontinent**
2. **Patient requires a urinary catheter** (specifically: external, indwelling, intermittent, or suprapubic)

M1620. Bowel Incontinence Frequency

Enter Code ☐

0. **Very rarely or never has bowel incontinence**
1. **Less than once weekly**
2. **One to three times weekly**
3. **Four to six times weekly**
4. **On a daily basis**
5. **More often than once daily**
NA **Patient has ostomy for bowel elimination**
UK **Unknown**

M1630. Ostomy for Bowel Elimination

Does this patient have an ostomy for bowel elimination that (within the last 14 days): a) was related to an inpatient facility stay; or b) necessitated a change in medical or treatment regimen?

Enter Code ☐

0. **Patient does not have an ostomy for bowel elimination.**
1. **Patient's ostomy was not related to an inpatient stay and did not necessitate change in medical or treatment regimen.**
2. **The ostomy was related to an inpatient stay or did necessitate change in medical or treatment regimen.**

Section I Active Diagnoses

M1021. Primary Diagnosis & M1023. Other Diagnoses

Column 1	Column 2
Diagnoses (Sequencing of diagnoses should reflect the seriousness of each condition and support the disciplines and services provided)	ICD-10-CM and symptom control rating for each condition. Note that the sequencing of these ratings may not match the sequencing of the diagnoses

M1021. Primary Diagnosis

a. _____	V, W, X, Y codes NOT allowed a. ☐☐☐ ☐☐☐☐ ☐0 ☐1 ☐2 ☐3 ☐4

M1023. Other Diagnoses

b. _____	All ICD-10-CM codes allowed b. ☐☐☐ ☐☐☐☐ ☐0 ☐1 ☐2 ☐3 ☐4
c. _____	c. ☐☐☐ ☐☐☐☐ ☐0 ☐1 ☐2 ☐3 ☐4
d. _____	d. ☐☐☐ ☐☐☐☐ ☐0 ☐1 ☐2 ☐3 ☐4
e. _____	e. ☐☐☐ ☐☐☐☐ ☐0 ☐1 ☐2 ☐3 ☐4
f. _____	f. ☐☐☐ ☐☐☐☐ ☐0 ☐1 ☐2 ☐3 ☐4

M1028. Active Diagnoses – Comorbidities and Co-existing Conditions

↓ **Check all that apply**

☐ 1. **Peripheral Vascular Disease (PVD) or Peripheral Arterial Disease (PAD)**
☐ 2. **Diabetes Mellitus (DM)**
☐ 3. **None of the above**

Section J Health Conditions

M1033. Risk for Hospitalization

Which of the following signs or symptoms characterize this patient as at risk for hospitalization?

↓ **Check all that apply**

☐ 1. **History of falls (2 or more falls – or any fall with an injury – in the past 12 months)**
☐ 2. **Unintentional weight loss of a total of 10 pounds or more in the past 12 months**

M1033. Risk for Hospitalization Which of the following signs or symptoms characterize this patient as at risk for hospitalization?	
☐	3. **Multiple hospitalizations (2 or more) in the past 6 months**
☐	4. **Multiple emergency department visits (2 or more) in the past 6 months**
☐	5. **Decline in mental, emotional, or behavioral status in the past 3 months**
☐	6. **Reported or observed history of difficulty complying with any medical instructions (for example, medications, diet, exercise) in the past 3 months**
☐	7. **Currently taking 5 or more medications**
☐	8. **Currently reports exhaustion**
☐	9. **Other risk(s) not listed in 1-8**
☐	10. **None of the above**

J0510. Pain Effect on Sleep	
Enter Code ☐	Ask patient: "*Over the past 5 days,* ***how much of the time has pain made it hard for you to sleep at night***" 0. **Does not apply – I have not had any pain or hurting in the past 5 days** ➔ *Skip to M1400, Short of Breath* 1. **Rarely or not at all** 2. **Occasionally** 3. **Frequently** 4. **Almost constantly** 8. **Unable to answer**

J0520. Pain Interference with Therapy Activities	
Enter Code ☐	Ask patient: "*Over the past 5 days,* ***how often have you limited your participation in rehabilitation therapy sessions due to pain?"*** 0. **Does not apply – I have not received rehabilitation therapy in the past 5 days** 1. **Rarely or not at all** 2. **Occasionally** 3. **Frequently** 4. **Almost constantly** 8. **Unable to answer**

J0530. Pain Interference with Day-to-Day Activities	
Enter Code ☐	Ask patient: "*Over the past 5 days,* ***how often you have limited your day-to-day activities (excluding rehabilitation therapy sessions) because of pain?***" 1. **Rarely or not at all** 2. **Occasionally** 3. **Frequently** 4. **Almost constantly** 8. **Unable to answer**

M1400. When is the patient dyspneic or noticeably Short of Breath?	
Enter Code ☐	0. **Patient is not short of breath** 1. **When walking more than 20 feet, climbing stairs** 2. **With moderate exertion** (for example, while dressing, using commode or bedpan, walking distances less than 20 feet) 3. **With minimal exertion** (for example, while eating, talking, or performing other ADLs) or with agitation 4. **At rest** (during day or night)

Section K Swallowing/Nutritional Status

M1060. Height and Weight – While measuring, if the number is X.1-X.4 round down; X.5 or greater round up.	
	A. **Height** (in inches). Record most recent height measure since the most recent SOC/ROC

M1060. Height and Weight – While measuring, if the number is X.1-X.4 round down; X.5 or greater round up.	
☐☐ **inches**	
☐☐☐ **pounds**	B. **Weight** (in pounds). Base weight on most recent measure in last 30 days; measure weight consistently, according to standard agency practice (for example, in a.m. after voiding, before meal, with shoes off, etc.)

K0520. Nutritional Approaches	
1**. On Admission** Check all of the nutritional approaches that apply on admission	**1.** **On Admission**
	Check all that apply ↓
A. **Parenteral/IV feeding**	☐
B. **Feeding tube** (e.g., nasogastric or abdominal (PEG))	☐
C. **Mechanically altered diet** – require change in texture of food or liquids (e.g., pureed food, thickened liquids)	☐
D. **Therapeutic diet** (e.g., low salt, diabetic, low cholesterol)	☐
Z. **None of the above**	☐

M1870. Feeding or Eating Current ability to feed self meals and snacks safely. Note: This refers only to the process of eating, chewing, and swallowing, not preparing the food to be eaten.	
Enter Code ☐	0. **Able to independently feed self.** 1. **Able to feed self independently but requires:** a. **meal set-up; OR** b. **intermittent assistance or supervision from another person; OR** c. **a liquid, pureed, or ground meat diet.** 2. **Unable to feed self and must be assisted or supervised throughout the meal/snack.** 3. **Able to take in nutrients orally and receives supplemental nutrients through a nasogastric tube or gastrostomy.** 4. **Unable to take in nutrients orally and is fed nutrients through a nasogastric tube or gastrostomy.** 5. **Unable to take in nutrients orally or by tube feeding.**

Section M Skin Conditions

M1306. Does this patient have at least one **Unhealed Pressure Ulcer/Injury at Stage 2 or Higher** or designated as Unstageable? (Excludes Stage 1 pressure injuries and all healed pressure ulcers/injuries)	
Enter Code ☐	0. **No** ➔ *Skip to M1322, Current Number of Stage 1 Pressure Injuries* 1. **Yes**

M1311. Current Number of Unhealed Pressure Ulcers/Injuries at Each Stage	
Enter Number ☐☐	A1. **Stage 2:** Partial thickness loss of dermis presenting as a shallow open ulcer with a red or pink wound bed, without slough. May also present as an intact or open/ruptured blister. **Number of Stage 2 pressure ulcers**
Enter Number ☐☐	B1. **Stage 3:** Full thickness tissue loss. Subcutaneous fat may be visible but bone, tendon, or muscle is not exposed. Slough may be present but does not obscure the depth of tissue loss. May include undermining and tunneling. **Number of Stage 3 pressure ulcers**
Enter Number ☐☐	C1. **Stage 4**: Full thickness tissue loss with exposed bone, tendon, or muscle. Slough or eschar may be present on some parts of the wound bed. Often includes undermining and tunneling. **Number of Stage 4 pressure ulcers**

Enter Number	
☐	D1. **Unstageable: Non-removable dressing/device:** Known but not stageable due to non-removable dressing/device **Number of unstageable pressure ulcers/injuries due to non-removable dressing/device**
☐	E1. **Unstageable: Slough and/or eschar:** Known but not stageable due to coverage of wound bed by slough and/or eschar **Number of unstageable pressure ulcers due to coverage of wound bed by slough and/or eschar**
☐	F1. **Unstageable: Deep tissue injury** **Number of unstageable pressure injuries presenting as deep tissue injury**

M1322. Current Number of Stage 1 Pressure Injuries

Intact skin with non-blanchable redness of a localized area usually over a bony prominence. Darkly pigmented skin may not have a visible blanching; in dark skin tones only, it may appear with persistent blue or purple hues.

Enter Code	
☐	0 1 2 3 4 or more

M1324. Stage of Most Problematic Unhealed Pressure Ulcer/Injury that is Stageable

Excludes pressure ulcer/injury that cannot be staged due to a non-removable dressing/device, coverage of wound bed by slough and/or eschar, or deep tissue injury.

Enter Code	
☐	1. **Stage 1** 2. **Stage 2** 3. **Stage 3** 4. **Stage 4** NA **Patient has no pressure ulcers/injuries or no stageable pressure ulcers/injuries**

M1330. Does this patient have a **Stasis Ulcer?**

Enter Code	
☐	0. **No** ➔*Skip to M1340, Surgical Wound* 1. **Yes, patient has BOTH observable and unobservable stasis ulcers** 2. **Yes, patient has observable stasis ulcers ONLY** 3. **Yes, patient has unobservable stasis ulcers ONLY** (known but not observable due to non-removable dressing/device) ➔ *Skip to M1340, Surgical Wound*

M1332. Current Number of Stasis Ulcer(s) that are Observable

Enter Code	
☐	1. **One** 2. **Two** 3. **Three** 4. **Four or more**

M1334. Status of Most Problematic Stasis Ulcer that is Observable

Enter Code	
☐	1. **Fully granulating** **Early/partial granulation** 3. **Not healing**

M1340. Does this patient have a Surgical Wound?

Enter Code	
☐	0. **No** ➔*Skip to N0415, High-Risk Drug Classes: Use and Indication* 1. **Yes, patient has at least one observable surgical wound** 2. **Surgical wound known but not observable due to non-removable dressing/device** ➔ *Skip to N0415, High-Risk Drug Classes: Use and Indication*

M1342. Status of Most Problematic Surgical Wound that is Observable	
Enter Code ☐	0. **Newly epithelialized** 1. **Fully granulating** 2. **Early/partial granulation** 3. **Not healing**

Section N Medications

N0415. High-Risk Drug Classes: Use and Indication		
1. **Is taking** Check if the patient is taking any medications by pharmacological classification, not how it is used, in the following classes 2. **Indication noted** If Column 1 is checked, check if there is an indication noted for all medications in the drug class	**1. Is Taking**	**2. Indication Noted**
	↓ **Check all that apply** ↓	
A. **Antipsychotic**	☐	☐
E. **Anticoagulant**	☐	☐
F. **Antibiotic**	☐	☐
H. **Opioid**	☐	☐
I. **Antiplatelet**	☐	☐
J. **Hypoglycemic** (including insulin)	☐	☐
Z. **None of the Above**	☐	

M2001. Drug Regimen Review Did a complete drug regimen review identify potential clinically significant medication issues?	
Enter Code ☐	0. **No – No issues found during review** ➔ *Skip to M2010, Patient/Caregiver High-Risk Drug Education* 1. **Yes – Issues found during review** 9. **NA – Patient is not taking any medications** ➔ *Skip to O0110, Special Treatments, Procedures, and Programs*

M2003. Medication Follow-up Did the agency contact a physician (or physician-designee) by midnight of the next calendar day and complete prescribed/recommended actions in response to the identified potential clinically significant medication issues?	
Enter Code ☐	0. **No** 1. **Yes**

M2010. Patient/Caregiver High-Risk Drug Education Has the patient/caregiver received instruction on special precautions for all high-risk medications (such as hypoglycemics, anticoagulants, etc.) and how and when to report problems that may occur?	
Enter Code ☐	0. **No** 1. **Yes** NA **Patient not taking any high-risk drugs OR patient/caregiver fully knowledgeable about special precautions associated with all high-risk medications**

M2020. Management of Oral Medications

Patient's current ability to prepare and take all oral medications reliably and safely, including administration of the correct dosage at the appropriate times/intervals. Excludes injectable and IV medications. (NOTE: This refers to ability, not compliance or willingness.)

Enter Code	
☐	0. **Able to independently take the correct oral medication(s) and proper dosage(s) at the correct times.** 1. **Able to take medication(s) at the correct times if:** a. **individual dosages are prepared in advance by another person; OR** b. **another person develops a drug diary or chart.** 2. **Able to take medication(s) at the correct times if given reminders by another person at the appropriate times** 3. **Unable to take medication unless administered by another person.** NA **No oral medications prescribed.**

M2030. Management of Injectable Medications

Patient's current ability to prepare and take all prescribed injectable medications reliably and safely, including administration of correct dosage at the appropriate times/intervals. Excludes IV medications.

Enter Code	
☐	0. **Able to independently take the correct medication(s) and proper dosage(s) at the correct times.** 1. **Able to take injectable medication(s) at the correct times if:** a. **individual syringes are prepared in advance by another person; OR** b. **another person develops a drug diary or chart.** 2. **Able to take medication(s) at the correct times if given reminders by another person based on the frequency of the injection** 3. **Unable to take injectable medication unless administered by another person.** NA **No injectable medications prescribed.**

Section O Special Treatment, Procedures, and Programs

O0110. Special Treatments, Procedures, and Programs Check all of the following treatments, procedures, and programs that apply on admission.	**a. On Admission** **Check all that apply** ↓
Cancer Treatments	
A1. Chemotherapy	☐
A2. IV	☐
A3. Oral	☐
A10. Other	☐
B1. Radiation	☐
Respiratory Therapies	
C1. Oxygen Therapy	☐
C2. Continuous	☐
C3. Intermittent	☐
C4. High-concentration	☐
D1. Suctioning	☐
D2. Scheduled	☐
D3. As Needed	☐
E1. Tracheostomy care	☐
F1. Invasive Mechanical Ventilator (ventilator or respirator)	☐
G1. Non-invasive Mechanical Ventilator	☐
G2. BiPAP	☐
G3. CPAP	☐
Other	

O0110. Special Treatments, Procedures, and Programs Check all of the following treatments, procedures, and programs that apply on admission.	**a. On Admission** **Check all that apply** ↓
H1. IV Medications	☐
H2. Vasoactive medications	☐
H3. Antibiotics	☐
H4. Anticoagulation	☐
H10. Other	☐
I1. Transfusions	☐
J1. Dialysis	☐
J2. Hemodialysis	☐
J3. Peritoneal dialysis	☐
O1. IV Access	☐
O2. Peripheral	☐
O3. Mid-line	☐
O4. Central (e.g., PICC, tunneled, port)	☐
None of the Above	
Z1. None of the Above	☐

M2200. Therapy Need

In the home health plan of care for the Medicare payment episode for which this assessment will define a case mix group, what is the indicated need for therapy visits (total of reasonable and necessary physical, occupational, and speech-language pathology visits combined)? (Enter zero ["000"] if no therapy visits indicated.)

	☐☐☐ **Number of therapy visits indicated** (total of physical, occupational and speech-language pathology combined). ☐ **NA** – Not Applicable: No case mix group defined by this assessment.