

1. DATE ISSUED MM/DD/YYYY 06/03/2020

1a. SUPERSEDES AWARD NOTICE dated except that any additions or restrictions previously imposed remain in effect unless specifically rescinded

2. CFDA No. 93.366 - State Actions to Improve Oral Health Outcomes and Partner Actions to Improve Oral Health Outcomes

3. ASSISTANCE TYPE Cooperative Agreement

4. GRANT NO. 5 NU58DP006476-03-00 Formerly

5. TYPE OF AWARD Other

4a. FAIN NU58DP006476

5a. ACTION TYPE Non-Competing Continuation

6. PROJECT PERIOD MM/DD/YYYY From 09/01/2018 Through 08/31/2023

7. BUDGET PERIOD MM/DD/YYYY From 09/01/2020 Through 08/31/2021

DEPARTMENT OF HEALTH AND HUMAN SERVICES

Centers for Disease Control and Prevention

2939 Brandywine Road  
Atlanta, GA 30341

NOTICE OF AWARD

AUTHORIZATION (Legislation/Regulations)  
301(a) and 317(k)(2) of the Public Health Service Act, [42 U.S.C. Section 241(a) and 247b(k)(2)], as amended.

8. TITLE OF PROJECT (OR PROGRAM) Missouri Oral Health Integration and Improvement Initiative (MOHIII)

9a. GRANTEE NAME AND ADDRESS HEALTH AND SENIOR SERVICES, MISSOURI DEPARTMENT OF 920 Wildwood Dr -DUP7 Jefferson City, MO 65109-5796

9b. GRANTEE PROJECT DIRECTOR Dr. John Dane DDS, FAAHD 920 Wildwood Dr. Office of Dental Health Jefferson City, MO 65109-5796 Phone: 573-751-6441

10a. GRANTEE AUTHORIZING OFFICIAL Ms. Patricia Bedell 920 WILDWOOD DR Division of Administration JEFFERSON CITY, MO 65109-5796 Phone: 573-751-6014

10b. FEDERAL PROJECT OFFICER Ms. Monique Brown 1600 Clifton Rd DOH Atlanta, GA 30333 Phone: 4046390925

ALL AMOUNTS ARE SHOWN IN USD

11. APPROVED BUDGET (Excludes Direct Assistance)

I Financial Assistance from the Federal Awarding Agency Only		
II Total project costs including grant funds and all other financial participation	<input type="checkbox"/>	
a. Salaries and Wages		101,192.00
b. Fringe Benefits		60,715.00
c. Total Personnel Costs		161,907.00
d. Equipment		0.00
e. Supplies		6,580.00
f. Travel		11,330.00
g. Construction		0.00
h. Other		6,717.00
i. Contractual		132,818.00
j. TOTAL DIRECT COSTS	→	319,352.00
k. INDIRECT COSTS		34,648.00
l. TOTAL APPROVED BUDGET		354,000.00
m. Federal Share		354,000.00
n. Non-Federal Share		0.00

12. AWARD COMPUTATION

a. Amount of Federal Financial Assistance (from item 11m)	354,000.00
b. Less Unobligated Balance From Prior Budget Periods	0.00
c. Less Cumulative Prior Award(s) This Budget Period	0.00
d. AMOUNT OF FINANCIAL ASSISTANCE THIS ACTION	354,000.00
13. Total Federal Funds Awarded to Date for Project Period	1,062,000.00

14. RECOMMENDED FUTURE SUPPORT (Subject to the availability of funds and satisfactory progress of the project):

YEAR	TOTAL DIRECT COSTS	YEAR	TOTAL DIRECT COSTS
a. 4		d. 7	
b. 5		e. 8	
c. 6		f. 9	

15. PROGRAM INCOME SHALL BE USED IN ACCORD WITH ONE OF THE FOLLOWING ALTERNATIVES:

a. DEDUCTION	
b. ADDITIONAL COSTS	<input type="checkbox"/>
c. MATCHING	
d. OTHER RESEARCH (Add / Deduct Option)	
e. OTHER (See REMARKS)	

16. THIS AWARD IS BASED ON AN APPLICATION SUBMITTED TO, AND AS APPROVED BY, THE FEDERAL AWARDING AGENCY ON THE ABOVE TITLED PROJECT AND IS SUBJECT TO THE TERMS AND CONDITIONS INCORPORATED EITHER DIRECTLY OR BY REFERENCE IN THE FOLLOWING:

a. The grant program legislation.  
b. The grant program regulations.  
c. This award notice including terms and conditions, if any, noted below under REMARKS.  
d. Federal administrative requirements, cost principles and audit requirements applicable to this grant.

In the event there are conflicting or otherwise inconsistent policies applicable to the grant, the above order of precedence shall prevail. Acceptance of the grant terms and conditions is acknowledged by the grantee when funds are drawn or otherwise obtained from the grant payment system.

REMARKS (Other Terms and Conditions Attached -  Yes  No)

GRANTS MANAGEMENT OFFICIAL:

Stephanie Latham, Team Lead, Grants Management Officer  
2939 Flowers Rd. South  
TV-2  
Atlanta, GA 30333  
Phone: 770.488.2917

17. OBJ CLASS	41.51	18a. VENDOR CODE		18b. EIN		19. DUNS	878092600	20. CONG. DIST.	03
FY-ACCOUNT NO.		DOCUMENT NO.		CFDA		ADMINISTRATIVE CODE		AMT ACTION FIN ASST	
21. a.	0-939ZRDG	b.	18NU58DP006476	c.	93.366	d.	DP	e.	\$354,000.00
22. a.		b.		c.		d.		e.	
23. a.		b.		c.		d.		e.	

NOTICE OF AWARD (Continuation Sheet)

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GRANT NO. 5 NU58DP006476-03-00	

**Direct Assistance**

BUDGET CATEGORIES	PREVIOUS AMOUNT (A)	AMOUNT THIS ACTION (B)	TOTAL (A + B)
Personnel	\$0.00	\$0.00	\$0.00
Fringe Benefits	\$0.00	\$0.00	\$0.00
Travel	\$0.00	\$0.00	\$0.00
Equipment	\$0.00	\$0.00	\$0.00
Supplies	\$0.00	\$1,244.00	\$1,244.00
Contractual	\$0.00	\$0.00	\$0.00
Construction	\$0.00	\$0.00	\$0.00
Other	\$0.00	\$0.00	\$0.00
<b>Total</b>	\$0.00	\$1,244.00	\$1,244.00

# AWARD ATTACHMENTS

Missouri Department of Health

5 NU58DP006476-03-00

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1. Terms and Conditions
2. Technical Review

## AWARD INFORMATION

**Incorporation:** In addition to the federal laws, regulations, policies, and CDC General Terms and Conditions for Non-research awards at <https://www.cdc.gov/grants/federalregulationspolicies/index.html>, the Centers for Disease Control and Prevention (CDC) hereby incorporates Notice of Funding Opportunity (NOFO) number DP18-1810, entitled State Actions to Improve Oral Health Outcomes, and application dated March 27, 2020, as may be amended, which are hereby made a part of this Non-research award, hereinafter referred to as the Notice of Award (NoA).

**Approved Funding:** Funding in the amount of \$354,000 is approved for the Year 03 budget period, which is September 1, 2020 through August 31, 2021. All future year funding will be based on satisfactory programmatic progress and the availability of funds.

The federal award amount is subject to adjustment based on total allowable costs incurred and/or the value of any third party in-kind contribution when applicable.

Note: Refer to the Payment Information section for Payment Management System (PMS) subaccount information.

**Financial Assistance Mechanism:** Cooperative Agreement

**Substantial Involvement by CDC:** This is a cooperative agreement and CDC will have substantial programmatic involvement after the award is made. Substantial involvement is in addition to all post-award monitoring, technical assistance, and performance reviews undertaken in the normal course of stewardship of federal funds.

CDC program staff will assist, coordinate, or participate in carrying out effort under the award, and recipients agree to the responsibilities therein, as detailed in the NOFO.

i. Technical Assistance: Provide programmatic, evaluation, epidemiologic, and technical assistance for recipients and their stakeholders and partners through programmatic and one-on-one technical consultation, national training, workshops, Web Conferences, SEALS, and Water Fluoridation Reporting System (WFRS) training, and other forms of guidance. CDC will also facilitate technical assistance between national partners and recipients as needed.

ii. Information Sharing between Recipients: The Program will share information, best practices, lessons learned, and evaluation results among recipients through program list-serv, conferences, guidance, material development, webinars, digital media, participation in appropriate meetings and committees, conference calls and working groups, including “communities of practice”.

iii. Additional Support: CDC will develop technical assistance resources for recipients through cooperative agreements with national organizations.

**Direct Assistance (DA):** DA is awarded in the amount of \$1244 for SAS License in this budget period.

**Budget Revision Requirement:** By October 1, 2020 the recipient must submit a revised budget with correct Salary for Research Analysis III, Supply cost total and an itemized budget for Contractors Lincoln County Health Dept \$18,970 and Jefferson County Health Dept \$18,970. The recipient must submit notification of new contractor/consultant amendment in GrantSolutions for TBD Contractor (\$86,878). Failure to submit the required information in a timely manner may adversely affect the future funding of this project. If the information cannot be provided by the due date, you are required to contact the GMS/GMO identified in the CDC Staff Contacts section of this notice before the due date.

**Expanded Authority:** The recipient is permitted the following expanded authority in the administration of the award.

- Carryover of unobligated balances from one budget period to a subsequent budget period. Unobligated funds may be used for purposes within the scope of the project as originally approved. Recipients will report use, or intended use, of unobligated funds in Section 12 "Remarks" of the annual Federal Financial Report. If the GMO determines that some or all of the unobligated funds are not necessary to complete the project, the GMO may restrict the recipient's authority to automatically carry over unobligated balances in the future, use the balance to reduce or offset CDC funding for a subsequent budget period, or use a combination of these actions.

**Program Income:** Any program income generated under this grant or cooperative agreement will be used in accordance with the Addition alternative.

Addition alternative: Under this alternative, program income is added to the funds committed to the project/program and is used to further eligible project/program objectives.

Note: The disposition of program income must have written prior approval from the GMO.

#### FUNDING RESTRICTIONS AND LIMITATIONS

#### Indirect Costs:

Indirect costs are approved based on the negotiated indirect cost rate agreement dated January 24, 2019, which calculates indirect costs as follows, a Provisional is approved at a rate of 21.40% of the base, which includes, direct salaries and wages including all fringe benefits. The effective dates of this indirect cost rate are from July 1, 2019 to June 30, 2022.

#### REPORTING REQUIREMENTS

**Required Disclosures for Federal Awardee Performance and Integrity Information System (FAPIS):** Consistent with 45 CFR 75.113, applicants and recipients must disclose in a timely manner, in writing to the CDC, with a copy to the HHS Office of Inspector General (OIG), all information related to violations of federal criminal law involving fraud, bribery, or gratuity violations potentially affecting the federal award. Subrecipients must disclose, in a timely manner in writing to the prime recipient (pass through entity) and the HHS OIG, all information related to violations of federal criminal law involving fraud, bribery, or gratuity violations

potentially affecting the federal award. Disclosures must be sent in writing to the CDC and to the HHS OIG at the following addresses:

CDC, Office of Grants Services  
Monique Tatum, Grants Management Specialist  
Centers for Disease Control and Prevention  
Chronic Disease and Birth Defect Services Branch  
2939 Flowers Road South MS-TV-2  
Atlanta, Georgia 30341  
Email: [mtatum@cdc.gov](mailto:mtatum@cdc.gov) (Include "Mandatory Grant Disclosures" in subject line)

AND

U.S. Department of Health and Human Services  
Office of the Inspector General  
ATTN: Mandatory Grant Disclosures, Intake Coordinator  
330 Independence Avenue, SW  
Cohen Building, Room 5527  
Washington, DC 20201

Fax: (202)-205-0604 (Include "Mandatory Grant Disclosures" in subject line) or  
Email: [MandatoryGranteeDisclosures@oig.hhs.gov](mailto:MandatoryGranteeDisclosures@oig.hhs.gov)

Recipients must include this mandatory disclosure requirement in all subawards and contracts under this award.

Failure to make required disclosures can result in any of the remedies described in 45 CFR 75.371. Remedies for noncompliance, including suspension or debarment (See 2 CFR parts 180 and 376, and 31 U.S.C. 3321).

CDC is required to report any termination of a federal award prior to the end of the period of performance due to material failure to comply with the terms and conditions of this award in the OMB-designated integrity and performance system accessible through SAM (currently FAPIIS). (45 CFR 75.372(b)) CDC must also notify the recipient if the federal award is terminated for failure to comply with the federal statutes, regulations, or terms and conditions of the federal award. (45 CFR 75.373(b))

#### **PAYMENT INFORMATION**

*The HHS Office of the Inspector General (OIG) maintains a toll-free number (1-800-HHS-TIPS [1-800-447-8477]) for receiving information concerning fraud, waste, or abuse under grants and cooperative agreements. Information also may be submitted by e-mail to [hhstips@oig.hhs.gov](mailto:hhstips@oig.hhs.gov) or by mail to Office of the Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington DC 20201. Such reports are treated as sensitive material and submitters may decline to give their names if they choose to remain anonymous.*

**Payment Management System Subaccount:** Funds awarded in support of approved activities have been obligated in a newly established subaccount in the PMS, herein identified as the "P

Account". Funds must be used in support of approved activities in the NOFO and the approved application. All award funds must be tracked and reported separately.

The grant document number identified on the bottom of Page 1 of the Notice of Award must be known in order to draw down funds.

## CDC STAFF CONTACTS

**Grants Management Specialist:** The GMS is the federal staff member responsible for the day-to-day management of grants and cooperative agreements. The GMS is the primary contact of recipients for business and administrative matters pertinent to grant awards.

**GMS Contact:**

Monique Tatum  
Grants Management Specialist (GMS)  
Centers for Disease Control and Prevention  
Chronic Disease and Birth Defect Services Branch  
Telephone: 770-488-2617  
Email: mtatum@cdc.gov

**Program/Project Officer:** The PO is the federal official responsible for monitoring the programmatic, scientific, and/or technical aspects of grants and cooperative agreements, as well as contributing to the effort of the award under cooperative agreements.

**Programmatic Contact:**

Monique Brown, Project Officer  
Centers for Disease Control and Prevention  
NCCDPHP-DCPC  
4770 Buford Hwy  
Atlanta, GA 30341  
Telephone: 404-639-0925  
Email: MCBrown1@cdc.gov

**Grants Management Officer:** The GMO is the federal official responsible for the business and other non-programmatic aspects of grant awards. The GMO is the only official authorized to obligate federal funds and is responsible for signing the NoA, including revisions to the NoA that change the terms and conditions. The GMO serves as the counterpart to the business officer of the recipient organization.

**GMO Contact:**

Rhonda Latimer  
Grants Management Officer (GMO)  
Office of Grants Services (OGS)  
Office of Financial Resources (OFR)  
Office of the Chief Operating Officer (OCOO)  
Centers for Disease Control and Prevention (CDC)  
Email: ITO1@cdc.gov | Phone: 770-488-1647

# Technical Review of Continuation Application

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**CDC-DP18-1810: State Actions to Improve Oral Health Outcomes**

**Year 3 Budget (September 1, 2020 – August 31, 2021)**

**Applicant Name: Missouri Department of Health and Senior Services**

**Grant Number: NU58DP006476**

**Date: 04/27/2020**

**Amount Requested: \$354,000**

**Amount Recommended: \$354,000**

**Reviewer Name: Monique Brown / Marcia Parker**

**Signature of Reviewer: *Monique Brown***

**Approval:  X  Yes \_\_\_ No**

## **I. Annual Performance Report (September 1, 2019 – February 29, 2020)**

### Key Strengths:

- Missouri Office of Dental Health (MODH) has awarded two sealant promotion and placement contracts. One contract was awarded to Lincoln County Health Department (LCHD) and the second with the Jefferson County Health Department. The MODH has worked closely with LCHD in both Year 1 and 2 of their funding. This department has been successful in bringing both fluoride varnish and sealants to schools in their area. The department has also worked with Shelby County Health Department to bring dental services to schools in Health Professional Shortage Areas. LCHD has provided 606 students at 13 schools with 1,275 sealants. They have been able to bill Medicaid and other insurance plans and received reimbursements that total nearly \$16,000. Educating parents, caregivers and teachers about the importance and benefits of dental sealants is key to their service delivery program.
- The second contract to JCHD will provide the same service to schools in their area through August 31, 2020. Both health departments are in Eastern Missouri and most of Missouri is a dental HPSA. In addition, 50% of the school have more than 50% of their students on Free and Reduced Lunch Program. Bringing dental services, especially dental sealants to the schools is a very important and needed task to improve the overall health and school attendance of students.

# Technical Review of Continuation Application

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- The MODH has a dental sealant webpage and dental sealant card. This is a huge success as the ODH has never had a webpage devoted to dental sealant or readily available information highlighting the benefits of sealants. The sealant webpage is full of beneficial information for parents, caregivers, and students. Educational cards are given to local public health agencies, schools, doctors' and dentists' offices to provide more information to parents, caregivers and students. During a 3-month period, 8,500 cards were disseminated.
- The MODH was able to get Jefferson County/Festus to continue water fluoridation. The School Sealant/Water Fluoridation Coordinator was integral in making this happen. The coordinator got Jefferson County/Fetus to table their vote on water fluoridation by attending meetings, speaking on ODH's behalf, visiting over 30 doctors' and dentist offices, visiting the local school nurses and meeting with the local public health agency, water operators and other stakeholders to educate them about the importance and benefit of CWF. The coordinator even encouraged people to write letters and to let the water authority leaders know they wanted to keep fluoride in the water. MODH then worked with the water operators to research other ways for them to add fluoride to the water, and how to fund secure funding. In addition, a sub-committee was formed in the Jefferson County/Festus area with which MODH participates. Through the sub-committee they are helping to acquire funding through a local foundation for this water system and other water systems in Jefferson County/Festus.
- The MODH is planning, coordinating, executing and finalizing the BSS for Third Graders! Since 2009 they have been unable to coordinate such an activity, so it is a huge accomplishment and they are proud of it! Over 2,500 third graders in 53 schools were surveyed in the latter part of Year 1 with the results coming from ASTDD in early of Year 2. With this data MO can now focus on needed areas and determine how they rank in relation to other states.

## Key Weaknesses:

- The MODH is ready to enter data into SEALS but is not sure of next steps to enter data.
- The MODH has lost their Sealant/Water Fluoridation Coordinator. This is a huge loss to the sealant program and the water fluoridation programs. It will take a couple of months before the program will be able to hire anyone.

## Key Recommendations:

- The recipient should work with their CDC Project Officer to determine next steps for entering SEALS data.

# Technical Review of Continuation Application

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- The recipient should continue to work with their internal systems to backfill the position as soon as possible and keep CDC Project Officer abreast of progress and challenges.

## Other Relevant Comments:

- CDC Program Support to Recipients: CDC will consider comments made in the Annual Performance Report regarding CDC support for recipients. Analysis of these comments will be used to improve the quality of trainings, technical assistance, and support to recipients to overcome any challenges to achieve complete activities outlined in the workplan, and annual, project period outcomes and performance measures.

## **II. Year 3 Proposed Work Plan (September 1, 2020 – August 31, 2021)**

### Key Strengths:

- Activities support the three priority areas: SSPs, CWF, OHS. Additionally, proposed activities support annual objectives.

### Key Weaknesses:

- None noted.

### Key Recommendations:

- None noted.

### Other Relevant Comments:

- CDC will provide feedback and technical assistance to the recipient to finalize the year three work plan post award.