

1. DATE ISSUED MM/DD/YYYY 08/15/2018 | 2. CFDA NO. 93.366 | 3. ASSISTANCE TYPE Cooperative Agreement

DEPARTMENT OF HEALTH AND HUMAN SERVICES
Centers for Disease Control and Prevention
CDC Office of Financial Resources
2920 Brandywine Road
Atlanta, GA 30341

1a. SUPERSEDES AWARD NOTICE dated
except that any additions or restrictions previously imposed remain in effect unless specifically rescinded

4. GRANT NO. 1 NU58DP006476-01-00 Formerly | 5. ACTION TYPE New

6. PROJECT PERIOD MM/DD/YYYY
From 09/01/2018 Through 08/31/2023

7. BUDGET PERIOD MM/DD/YYYY
From 09/01/2018 Through 08/31/2019

NOTICE OF AWARD
AUTHORIZATION (Legislation/Regulations)
301(a) and 317(k)(2) of the Public Health Service Act, [42 U.S.C. Section 241(a) and 247b(k)(2)], as amended.

8. TITLE OF PROJECT (OR PROGRAM)
Missouri Oral Health Integration and Improvement Initiative (MOHIII)

9a. GRANTEE NAME AND ADDRESS
HEALTH AND SENIOR SERVICES, MISSOURI DEPARTMENT OF
Alternate Name: State of Missouri
920 Wildwood Dr
Jefferson City, MO 65109-5796

9b. GRANTEE PROJECT DIRECTOR
Dr. John Dane DDS, FAAHD
920 Wildwood Dr.
Office of Dental Health
Jefferson City, MO 65109-5796
Phone: 573-751-6441

10a. GRANTEE AUTHORIZING OFFICIAL
Mrs. Tonya Loucks
920 WILDWOOD DR
JEFFERSON CITY, MO 65109-5796
Phone: 573-751-6014

10b. FEDERAL PROJECT OFFICER
Ms. Monique Baseer
1600 Clifton Rd
Atlanta, GA 30333
Phone: 4046390925

ALL AMOUNTS ARE SHOWN IN USD

11. APPROVED BUDGET (Excludes Direct Assistance)	
I Financial Assistance from the Federal Awarding Agency Only	<input type="checkbox"/>
II Total project costs including grant funds and all other financial participation	<input type="checkbox"/>
a. Salaries and Wages	112,242.00
b. Fringe Benefits	58,780.00
c. Total Personnel Costs	171,022.00
d. Equipment	0.00
e. Supplies	8,911.00
f. Travel	17,882.00
g. Construction	0.00
h. Other	10,883.00
i. Contractual	108,874.00
j. TOTAL DIRECT COSTS →	317,572.00
k. INDIRECT COSTS	36,428.00
l. TOTAL APPROVED BUDGET	354,000.00
m. Federal Share	354,000.00
n. Non-Federal Share	0.00

12. AWARD COMPUTATION	
a. Amount of Federal Financial Assistance (from item 11m)	354,000.00
b. Less Unobligated Balance From Prior Budget Periods	0.00
c. Less Cumulative Prior Award(s) This Budget Period	0.00
d. AMOUNT OF FINANCIAL ASSISTANCE THIS ACTION	354,000.00
13. Total Federal Funds Awarded to Date for Project Period	354,000.00

14. RECOMMENDED FUTURE SUPPORT (Subject to the availability of funds and satisfactory progress of the project):			
YEAR	TOTAL DIRECT COSTS	YEAR	TOTAL DIRECT COSTS
a. 2	317,572.00	d. 5	
b. 3	317,572.00	e. 6	
c. 4	317,572.00	f. 7	

15. PROGRAM INCOME SHALL BE USED IN ACCORD WITH ONE OF THE FOLLOWING ALTERNATIVES:		<input type="checkbox"/> b
a. DEDUCTION		
b. ADDITIONAL COSTS		
c. MATCHING		
d. OTHER RESEARCH (Add / Deduct Option)		
e. OTHER (See REMARKS)		

16. THIS AWARD IS BASED ON AN APPLICATION SUBMITTED TO, AND AS APPROVED BY, THE FEDERAL AWARDING AGENCY ON THE ABOVE TITLED PROJECT AND IS SUBJECT TO THE TERMS AND CONDITIONS INCORPORATED EITHER DIRECTLY OR BY REFERENCE IN THE FOLLOWING:

a. The grant program legislation
b. The grant program regulations.
c. This award notice including terms and conditions, if any, noted below under REMARKS.
d. Federal administrative requirements, cost principles and audit requirements applicable to this grant.

In the event there are conflicting or otherwise inconsistent policies applicable to the grant, the above order of precedence shall prevail. Acceptance of the grant terms and conditions is acknowledged by the grantee when funds are drawn or otherwise obtained from the grant payment system.

REMARKS (Other Terms and Conditions Attached - Yes No)

GRANTS MANAGEMENT OFFICER Stephanie Latham

17. OBJ CLASS 41.51	18a. VENDOR CODE	18b. EIN	19. DUNS 878092600	20. CONG. DIST. 03
FY-ACCOUNT NO.	DOCUMENT NO.	CFDA	ADMINISTRATIVE CODE	AMT ACTION FIN ASST
21. a. 8-939ZRDRG	b. 18NU58DP006476	c. 93.366	d. DP	e. \$354,000.00
22. a.	b.	c.	d.	e.
23. a.	b.	c.	d.	e.

NOTICE OF AWARD (Continuation Sheet)

PAGE 2 of 2	DATE ISSUED 08/15/2018
GRANT NO. 1 NU58DP006476-01-00	

Direct Assistance

BUDGET CATEGORIES	PREVIOUS AMOUNT (A)	AMOUNT THIS ACTION (B)	TOTAL (A + B)
Personnel	\$0.00	\$0.00	\$0.00
Fringe Benefits	\$0.00	\$0.00	\$0.00
Travel	\$0.00	\$0.00	\$0.00
Equipment	\$0.00	\$0.00	\$0.00
Supplies	\$0.00	\$0.00	\$0.00
Contractual	\$0.00	\$0.00	\$0.00
Construction	\$0.00	\$0.00	\$0.00
Other	\$0.00	\$0.00	\$0.00
Total	\$0.00	\$0.00	\$0.00

AWARD ATTACHMENTS

State of Missouri

1 NU58DP006476-01-00

1. Terms and Conditions
2. Summary Statement

AWARD INFORMATION

Incorporation: In addition to the federal laws, regulations, policies, and CDC General Terms and Conditions for Non-research awards at <https://www.cdc.gov/grants/federalregulationspolicies/index.html>, the Centers for Disease Control and Prevention (CDC) hereby incorporates Notice of Funding Opportunity (NOFO) number **DP18-1810**, entitled National Partnerships to Promote Cancer Surveillance Standards, and application dated **May 4, 2018**, as may be amended, which are hereby made a part of this Non-research award, hereinafter referred to as the Notice of Award (NoA).

Approved Funding: Funding in the amount of \$354,000 is approved for the Year 01 budget period, which is **September 1, 2018 through August 31, 2019**. All future year funding will be based on satisfactory programmatic progress and the availability of funds.

The federal award amount is subject to adjustment based on total allowable costs incurred and/or the value of any third party in-kind contribution when applicable.

Note: Refer to the Payment Information section for Payment Management System (PMS) subaccount information.

Financial Assistance Mechanism: Cooperative Agreement

Substantial Involvement by CDC: This is a cooperative agreement and CDC will have substantial programmatic involvement after the award is made. Substantial involvement is in addition to all post-award monitoring, technical assistance, and performance reviews undertaken in the normal course of stewardship of federal funds.

CDC program staff will assist, coordinate, or participate in carrying out effort under the award, and recipients agree to the responsibilities therein, as detailed in the NOFO.

i. Technical Assistance: Provide programmatic, evaluation, epidemiologic, and technical assistance for recipients and their stakeholders and partners through programmatic and one-on-one technical consultation, national training, workshops, Web Conferences, SEALS, and Water Fluoridation Reporting System (WFRS) training, and other forms of guidance. CDC will also facilitate technical assistance between national partners and recipients as needed.

ii. Information Sharing between Recipients: The Program will share information, best practices, lessons learned, and evaluation results among recipients through program list-serv, conferences, guidance, material development, webinars, digital media, participation in appropriate meetings and committees, conference calls and working groups, including “communities of practice”.

iii. Additional Support: CDC will develop technical assistance resources for recipients through cooperative agreements with national organizations.

Objective Review Statement Response Requirement: The review comments on the strengths and weaknesses of the proposal are provided as part of this award. A response to the weaknesses in these statements must be submitted to and approved, in writing, by the Grants Management Specialist/Grants Management Officer (GMS/GMO) noted in the CDC Staff Contacts section of this NoA, no later than 30 days from the budget period start date. Failure to submit the required information by the due date, **October 1, 2018**, will cause delay in programmatic progress and will adversely affect the future funding of this project.

Budget Revision Requirement: By **October 1, 2018** the recipient must submit a revised budget with a narrative justification for approved Component 1 funding and six elements required for Contractors. Failure to submit the required information in a timely manner may adversely affect the future funding of this project. If the information cannot be provided by the due date, you are required to contact the GMS/GMO identified in the CDC Staff Contacts section of this notice before the due date.

FUNDING RESTRICTIONS AND LIMITATIONS

Indirect Costs: Indirect costs are approved based on the negotiated indirect cost rate agreement dated March 9, 2018, which calculates indirect costs as follows, a Final is approved at a rate of 21.30% of the base, which includes, direct salaries and wages including all fringe benefits. The effective dates of this indirect cost rate are from July 1, 2018 to June 30, 2019.

REPORTING REQUIREMENTS

Required Disclosures for Federal Awardee Performance and Integrity Information System (FAPIIS): Consistent with 45 CFR 75.113, applicants and recipients must disclose in a timely manner, in writing to the CDC, with a copy to the HHS Office of Inspector General (OIG), all information related to violations of federal criminal law involving fraud, bribery, or gratuity violations potentially affecting the federal award. Subrecipients must disclose, in a timely manner in writing to the prime recipient (pass through entity) and the HHS OIG, all information related to violations of federal criminal law involving fraud, bribery, or gratuity violations potentially affecting the federal award. Disclosures must be sent in writing to the CDC and to the HHS OIG at the following addresses:

CDC, Office of Grants Services
Monique Tatum, Grants Management Officer/Specialist
Centers for Disease Control and Prevention
NCCDPHP
2960 Brandywine Rd, MS E09 RM 2068.02
Atlanta, GA 30341
Email: mtatum@cdc.gov (Include "Mandatory Grant Disclosures" in subject line)

AND

U.S. Department of Health and Human Services

Office of the Inspector General
ATTN: Mandatory Grant Disclosures, Intake Coordinator
330 Independence Avenue, SW
Cohen Building, Room 5527
Washington, DC 20201

Fax: (202)-205-0604 (Include "Mandatory Grant Disclosures" in subject line) or
Email: MandatoryGranteeDisclosures@oig.hhs.gov

Recipients must include this mandatory disclosure requirement in all subawards and contracts under this award.

Failure to make required disclosures can result in any of the remedies described in 45 CFR 75.371. Remedies for noncompliance, including suspension or debarment (See 2 CFR parts 180 and 376, and 31 U.S.C. 3321).

CDC is required to report any termination of a federal award prior to the end of the period of performance due to material failure to comply with the terms and conditions of this award in the OMB-designated integrity and performance system accessible through SAM (currently FAPIIS). (45 CFR 75.372(b)) CDC must also notify the recipient if the federal award is terminated for failure to comply with the federal statutes, regulations, or terms and conditions of the federal award. (45 CFR 75.373(b))

PAYMENT INFORMATION

The HHS Office of the Inspector General (OIG) maintains a toll-free number (1-800-HHS-TIPS [1-800-447-8477]) for receiving information concerning fraud, waste, or abuse under grants and cooperative agreements. Information also may be submitted by e-mail to hhstips@oig.hhs.gov or by mail to Office of the Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington DC 20201. Such reports are treated as sensitive material and submitters may decline to give their names if they choose to remain anonymous.

Payment Management System Subaccount: Funds awarded in support of approved activities have been obligated in a newly established subaccount in the PMS, herein identified as the "P Account". Funds must be used in support of approved activities in the NOFO and the approved application. All award funds must be tracked and reported separately.

The grant document number identified on the bottom of Page 1 of the Notice of Award must be known in order to draw down funds.

CDC Staff Contacts

Grants Management Specialist: The GMS is the federal staff member responsible for the day-to-day management of grants and cooperative agreements. The GMS is the primary contact of recipients for business and administrative matters pertinent to grant awards.

GMS Contact:

Monique McEwen, Grants Management Specialist
Office of Grants Services (OGS)
Office of Financial Resources (OFR)
Office of the Chief Operating Officer (OCOO)
Centers for Disease Control and Prevention (CDC)
Email: mmcewen@cdc.gov Phone: 770-488-2617

Program/Project Officer: The PO is the federal official responsible for monitoring the programmatic, scientific, and/or technical aspects of grants and cooperative agreements, as well as contributing to the effort of the award under cooperative agreements.

Programmatic Contact:

Monique Brown, Project Officer
Centers for Disease Control and Prevention
NCCDPHP-DCPC
4770 Buford Hwy
Atlanta, GA 30341
Telephone: 404-639-0925
Email: MCBrown1@cdc.gov

Grants Management Officer: The GMO is the federal official responsible for the business and other non-programmatic aspects of grant awards. The GMO is the only official authorized to obligate federal funds and is responsible for signing the NoA, including revisions to the NoA that change the terms and conditions. The GMO serves as the counterpart to the business officer of the recipient organization.

GMOContact:

Stephanie Latham, Grants Management Officer
Office of Grants Services (OGS)
Office of Financial Resources (OFR)
Office of the Chief Operating Officer (OCOO)
Centers for Disease Control and Prevention (CDC)
Email: SBledsoeLatham@cdc.gov Phone: 770-488-2917

**National Center for Chronic Disease Prevention and Health Promotion
Notice of Funding Opportunity CDC-RFA-DP18-1810
State Actions to Improve Oral Health Outcomes**

**SUMMARY STATEMENT
Component 1**

Date Reviewed: June 6, 2018

Applicant Name: State of Missouri

Application #: NU58DP2018006295

Score: 92.00 of 100

Brief Summary of Application:

The Missouri Department of Health and Senior Services (DHSS), Missouri Office of Dental Health (ODH) provides a broad range of core public health services in oral health, including conducting oral health surveillance, addressing community water fluoridation, and operating an education and fluoride varnish program for children, known as the Preventive Services Program.

In response to this Notice of Funding Opportunity, ODH will 1) expand existing dental sealant services in partnership with federally qualified health centers and other providers serving disparate populations and through teledentistry; 2) maintain existing community water fluoridation efforts and expand community water fluoridation to new communities; and 3) conduct oral health surveillance. These strategies will be put in place to decrease dental caries, oral health disparities, and improve surveillance. ODH will also improve monitoring and reporting capabilities by enhancing evaluation capacity.

Reviewers' Comments on Approach

Strengths of Section:

- The application is well-written and describes how this award fits with the mission, goals, and existing activities for advancing oral health promotion in Missouri.
- A variety of appropriate partners are listed, such as the Missouri Primary Care Association, the MO Department of Elementary and Secondary Education, dental professionals associations, and Medicaid managed care plans.
- Target populations are clearly described for each key strategy. The target population for the school sealant strategy is students in grades 2-6, attending school with 50% or more of students eligible for free and reduced meals. For community water fluoridation, the target population is individuals served by non-fluoridated community water systems, especially those people living in cities which are most resistant to fluoridation. Data are provided to describe the extent or reach of their target population. For example, they note that there are 1,305 schools in MO that have 50% or greater of the student population eligible for free and reduced meals (352 of which have 100% of students eligible).
- Applicant proposes to utilize tele-dentistry and increase the scope of practice for dental hygienists.

Weaknesses of Section:

- At times it appears the scope is beyond the requirements for the award.
- There is funding allocated to "tele-dentistry" in the budget, but no specific mention of what it is or how it fits in the work plan.
- Under target populations and health disparities, how the needs of the population will be included in planning and intervention is not addressed.
- Provide more information on how the school sealant and community water fluoridation programs operate.

- Provide clarification that advocacy does not include influencing legislative policies.

Recommendations for Section:

- Consider focusing efforts on award requirements if scope is too broad.
- Revise the work plan to include specific information about how tele-dentistry fits within activities and supports reaching objectives associated with this award.

Reviewers' Comments on Evaluation and Performance Measurement

Strengths of Section:

- Overall, this is a strong evaluation section that covers all of the requirements for the evaluation section.
- The application clearly describes how evaluation efforts will follow the CDC Evaluation Framework.
- It provides several preliminary evaluation questions.
- It lists key data sources and describes internal resources for collecting and analyzing data.
- Utilization of data sources and data collection methods are consistent with CDC's project description.
- The applicant provides an overview of how on-going process and outcomes evaluation results will factor into making program improvements and changes.
- Applicant proposes to add to BRFSS questions, use BSS data, and data from special populations, such as senior citizens residing in nursing facilities, children and adults with disabilities, and individuals using emergency rooms for non-traumatic dental complaints.

Weaknesses of Section:

- None noted.

Recommendations for Section:

- None noted.

Reviewers' Comments on Organizational Capacity to Implement the Approach

Strengths of Section:

- They have a highly qualified Dental Director leading the program.
- A description of all the oral health-related projects occurring at their agency were provided to show how this award fits into the bigger picture.
- A description was provided of how their Oral Health Plan is guiding their decision making and planning for oral health promotion in Missouri.
- They have previously implemented various state and federal projects.

Weaknesses of Section:

- No staff person is dedicated to the project full-time.
- Several positions are allocated for a very low percentage of time on the award.

Recommendations for Section:

- Clarify why several positions are allocated for a very low percentage of time on the award.
- Provide more detailed information regarding the ODH Program Manager's experience.

Reviewers' Comments on Budget and Budget Narrative

Strengths of Section:

- The submitted budget aligns with staffing and proposed project and work plans.

Weaknesses of Section:

- The funds allocated to out-of-state travel seem excessive.
- The required 6 contractual elements should be complete and more clearly organized.
- The total requested salary plus fringe is 48% of the requested budget.

Recommendations for Section:

- Revise budget to reflect total recommended funding of \$354,000.00.
- Provide required contractual elements to receive CDC funding totaling \$108,874.
- Ensure allocation of no more than 40% of the total budget for program staffing and at least 60% of the total budget for program implementation.
- Limit technical assistance travel to 1 trip for travel to Colorado and Minnesota.
- Further clarify total requested amount for each travel event.